



19500 Victor Parkway
Suite 550, Livonia, MI 48152



Service Fees Schedule

Account Maintenance Fees			
Inactivity Fee		\$60	
Annual Account Fee		\$75	
Retirement Account Fees			
IRA Annual Maintenance Fee		\$35	
Wire Fee (No 3rd Party Wires)		\$25	
Overnight Checks		\$15	
Check Stop Payment		\$20	
NSF Fee/Returned Checks		\$35	
Reorganization Activities	-Voluntary -Physical Reorg	\$50	
	-Mandatory- Non-Physical Reorg	\$40	
Transfers	-Legal/Ginnie Mae Transfer	\$110	
	-Outgoing Account Transfer	Retail/Non-Qualified Account	\$275
		IRA/Qualified Account	\$120
Mailing Fees			
	Confirmation Fee	\$5.00	
	Prospectus Mailing	Cost of mailing	
TOD Set-Up and Beneficiary Change Fee (RBC ONLY)		\$25	
Duplicate Confirms (RBC ONLY)		\$3.25	
Duplicate Statements (RBC ONLY)		\$3.25	
Limited Partnerships, Private Placements or Alternative Investments			
Administration Fee per position	Alternative Investment Annual Fee	\$100	
	Processing Fee per subscription, redemption and re-registration, investor networking	\$165	

Margin Rates (See RBC Interest Rates on Infoworks for changes and updates)

Effective December 1, 2018

		Net Debit Balance	Base Lending Rate	Margin Spread	Total Margin Rate
Clearing Firm	RBC	\$0 to \$24,999	6.75%	2.75%	9.50%
		\$25,000 to \$49,999	6.75%	2.20%	9.00%
		\$50,000 to \$74,999	6.75%	1.75%	8.50%
		\$75,000 to \$99,000	6.75%	1.375%	8.125%
		\$100,000 to \$249,000	6.75%	1.125%	7.875%
		\$250,000+	6.75%	1.00%	7.750%

Securities offered through Concorde Investment Services, LLC Member FINRA/SIPC
 Advisory Services offered through Concorde Asset Management, LLC an SEC registered
 Investment adviser.

Insurance products offered through Concorde Insurance Agency, Inc.

**Concord Investment Services
General Securities Commission Schedule
Commission Based Account**

Equities

Principal \$ Amount of Trade	Maximum Commission
\$ 0-2,499	\$ 0 + 4.50%
\$ 2,500 - 4,999	\$ 10 + 4.00%
\$ 5,000 - 9,999	\$ 20 + 3.25%
10,000 - 24,999	\$ 60 + 2.50%
25,000 - 49,999	\$ 90 + 1.75%
50,000 - 99,999	\$ 120 + 1.50%
\$ 100,000+	\$ 180 + .95%

**Minimum commission on any equity trade is \$30.00*

Options

Principal \$ Amount of Trade	Maximum Commission
\$ 0 - 2,499	\$ 40 + 2.5%
\$ 2,500 - 4,999	\$ 70 + 2.1%
\$ 5,000 - 9,999	\$ 100 + 1.7%
10,000 - 19,999	\$ 120 + 1.2%
20,000 - 29,999	\$ 150 + 1.1%
\$ 30,000 +	\$ 300 + 0.9%

**Minimum commission on any equity trade is \$30.00*

Fixed Income

<u>Trade Type</u>	<u>Maximum Commission</u>
Primary Issue Bond Purchase	Set by Issuer
Secondary Issue Bond Purchase	Lesser of Principal x Maturity (# of years) x 1/2% Or Principal x 2.5%
Bond Liquidation	Lesser of Principal x Maturity (# of years) x 1/2% Or Principal x 2.5%
CD Purchase	Set by Issuer
CD Liquidation	Lesser of Principal x Maturity (# of years) x 1/2% Or Principal x 2.5%
UIT Purchase	Set by Issuer
UIT Liquidation	\$0.00

**Minimum commission on any fixed income trade which is not set by the issuer is \$40.00*

Mutual Funds

<u>Trade Type</u>	<u>Maximum Commission</u>
Loaded Fund Purchase	Set by Fund Family
No-Load Fund Purchase	3% of Principal \$ Amount of Trade
NAV Purchase	\$ 13.50 (ticket charge)
Mutual Fund Liquidations	\$ 13.50 (ticket charge)
Mutual Fund Exchange	\$0.00

****Figures are subject to change without advanced notice to client****