



19500 Victor Parkway
Suite 550, Livonia, MI 48152



Service Fees Schedule

Inactivity Fee	\$50	
Retirement Account Fees	-IRA Annual Maintenance Fee	\$51
	-IRA Annual Maintenance Fee-Mutual Fund Only	\$12
	-IRA Termination Fee	\$275
	-QRP & 403b Loans	\$50
Wire Fee (No 3rd Party Wires)	\$25	
Overnight Checks	\$14	
Check Stop Payment	\$10	
NSF Fee/Returned Checks	\$20	
Reorganization Activities	-Voluntary	\$50
	-Mandatory	\$10
Transfers	-Direct Registration System Transfer-Outgoing	\$10
	-Legal/Ginnie Mae Transfer	\$135
	-Outgoing Account Transfer	\$275
Mailing Fees	-Monthly Statement Fee	\$1.00
	-Year End Account Report	\$3.00
	-Confirmation Fee	\$6.00
	-Books and Records	\$1.50 per account (and every 2-3yrs)
	-Prospectus Mailing (not charged if you use eDelivery)	Cost of mailing
Administration Fee per position		
-Registered Products Annual Fee	\$35	
-Unregistered Products	\$125	
-Processing Fee per subscription, redemption and re-registration, investor networking	\$50	
House Account Fees/ Accounts with No Active Concorde Financial Representative Servicing the Account will be charged the fees below		
IRA Annual Maintenance Fee	\$200	
IRA Termination Fee	\$275	
Inactive Account Fee	\$150	

Margin Rates (See NetX360 Rateboard for changes and updates)

Clearing Firm	Net Debit Balance		Base Lending Rate	Margin Spread	Total Margin Rate
	Pershing	\$0 to \$9,999		7.88%	2.75%
\$10,000 to \$29,999			7.88%	2.00%	9.88%
\$30,000 to \$49,999			7.88%	1.50%	9.38%
\$50,000+			7.88%	0.75%	8.63%

Securities offered through Concorde Investment Services, LLC Member FINRA/SIPC

Advisory Services offered through Concorde Asset Management, LLC an SEC registered Investment adviser.

Insurance products offered through Concorde Insurance Agency, Inc.

www.concordeis.com

****Figures are subject to change without advanced notice****

**Concorde Investment Services
General Securities Commission Schedule
Commission Based Account**

Equities

Principal \$ Amount of Trade	Maximum Commission
\$ 0-2,499	\$ 0 + 4.50%
\$ 2,500 - 4,999	\$ 10 + 4.00%
\$ 5,000 - 9,999	\$ 20 + 3.25%
10,000 - 24,999	\$ 60 + 2.50%
25,000 - 49,999	\$ 90 + 1.75%
50,000 - 99,999	\$ 120 + 1.50%
\$ 100,000+	\$ 180 + .95%

**Minimum commission on any equity trade is \$30.00*

Options

Principal \$ Amount of Trade	Maximum Commission
\$ 0 - 2,499	\$ 40 + 2.5%
\$ 2,500 - 4,999	\$ 70 + 2.1%
\$ 5,000 - 9,999	\$ 100 + 1.7%
10,000 - 19,999	\$ 120 + 1.2%
20,000 - 29,999	\$ 150 + 1.1%
\$ 30,000 +	\$ 300 + 0.9%

**Minimum commission on any equity trade is \$30.00*

Mutual Funds

<u>Trade Type</u>	<u>Maximum Commission</u>
Loaded Fund Purchase	Set by Fund Family
No-Load Fund Purchase	3% of Principal \$ Amount of Trade
NAV Purchase	\$ 13.50 (ticket charge)
Mutual Fund Liquidations	\$ 13.50 (ticket charge)
Mutual Fund Exchange	\$0.00

Figures are subject to change without advanced notice to client

Effective October 29, 2018