



Background Check Authorization

Notification

Statements made within these documents are representations on which Concorde Investment Services ("Concorde") and its officers, directors, employees, affiliates, and related insurance agencies, or any insurance company ("Company"), may rely in considering your request for registration/appointment as a representative of Concorde. Throughout this document, Concorde discloses to you that an investigative consumer report(s) is being obtained from a consumer reporting agency for the purpose of evaluating you and your financial responsibility for association with Concorde, and for consideration of your eligibility for any licenses, as required by law, necessary for your association with Concorde. This consumer report may contain information bearing on your creditworthiness, credit standing, credit capacity, character, general reputation, and/or mode of living, from public record sources. You have the right to request additional disclosures regarding the nature and scope of the investigation. Additional information as to the precise nature and scope of the investigation, if one is made, will be provided to you upon written request. This notification is made in accordance with the Fair Credit Reporting Act, as amended.

In order to perform a background check, please provide the following information:

_____	_____	_____
First/Last Name (please print)	Date of Birth	Social Security Number
_____	_____	_____
Current Residential Address	City	State Zip

Release and Authorization

I hereby authorize Concorde to verify my previous employment and registration history through the Central Registration Depository ("CRD") of the Financial Industry Regulatory Authority ("FINRA"), as well as my background, including but not limited to, my credit history, any criminal records, employment history, and general character, through available sources including service bureaus and consumer reporting agencies. I authorize and request that former employers, insurance companies, or any other person, furnish to Concorde and/or its agents, any information in their possession concerning my creditworthiness, character, ability, business activities, educational background, general reputation, together with, in the case of former employers, a history of my employment and the reason(s) for the termination thereof.

I understand and agree that I may be the subject of an investigative consumer report(s) ordered by Concorde and I hereby waive any requirement of prior notification. I understand that I have a right to request in writing, disclosure of any investigation by Concorde, including the nature and scope of any such investigation. I understand and agree that any misrepresentation of the facts contained herein constitute grounds for termination for cause.

 Signature

 Date

Return to Concorde via fax (248) 720-0460 Attn: Transition Team
 or email to Nicole Woolson (nwoolson@concordeis.com)